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AND RELATED LITIGATION

EDMUND P. WOOD 1923-1968
TRUMAN A. HERRON 1935-1976
EDWARD B. EVANS 1936-1971

PATENT TRADEMARK COPYRIGHT

AND UNFAIR COMPETITION LAW

November 21, 2005

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FACSIMILE COVER SHEET

To: Examiner Phat X. Cao

Group Unit No. 2814

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Fax: 571-273-8300

From: William R. Allen, Ph.D.

Re: US Application Serial No. 10/767,039

Filed: January 29, 2004

Title: VERTICAL FIELD EFFECT TRANSISTORS INCORPORATING SEMICONDUCTING NANOTUBES GROWN IN A SPACER-DEFINED

PASSAGE

Applicants: Toshiharu Furukawa et al. Atty Docket: ROC920030272US1

(IBM-273)

Pages: 15 (including cover sheet)

MESSAGE/COMMENTS

Please see the attached Amendment Under 37 C.F.R. §1.111 for filing in connection with the above-referenced application.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Furukawa et al.

Art Unit: 2814 Serial No.: 10/767,039 Examiner: Phat X. Cao

Filed:

For:

January 29, 2004

Atty. Docket No.: ROC920030272US1

VERTICAL FIELD EFFECT TRANSISTORS INCORPORATING

SEMICONDUCTING NANOTUBES GROWN IN A SPACER-DEFINED

PASSAGE

AMENDMENT UNDER 37 C.F.R. § 1.111

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In reply to the Office Action dated August 23, 2005, please amend the above-identified application as follows:

Amendments to the Specification are reflected in the listing of claims which begins on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper.

Remarks begin on page 8 of this paper.

Page 1 of 14 Serial No. 10/767,039 Amendment and Response dated November 21, 2005 Reply to Office Action of August 23, 2005 IBM Docket ROC920030272US1 WH&E IBM/273 K:\bm\273\Amendm ment and Response to 09-23-05 OA.wpd